

**Certificate in Introduction to Fintech
(Senior Secondary Applied Learning)**

Programme Code: FN080A

Application Code: 2150-FN080A

📞 2867 8322

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The programme aims to provide fundamental knowledge on fintech, digital currencies, coding and applications of blockchain technology in business and finance. The programme develops students with basic coding skills in fintech and put them into practice in finance.

R Applicants shall be Secondary 3 or Secondary 4 students. Secondary 3 students can apply in advance but they must be in Secondary 4 when the Applied Learning course starts. Whereas Secondary 4 students can choose to study the course either in Secondary 4 or when they reach Secondary 5.

D 2 years  Cantonese

Q Level 3 (Reg. No.: 22/000659/L3) Validity Period: 01 Sep 2022 - 31 Aug 2027

**Investment Management
投資管理**

Postgraduate Diploma in Investment Management

Programme Code: FN018A

Application Code: 2065-FN018A

📞 2867 8476

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This Postgraduate Diploma is one of the most comprehensive courses offered by HKU SPACE in Investment Management. It is designed to follow the CFA syllabus [https://www.cfainstitute.org/programs/cfaprogram/exams/Pages/exam_topic_area_weights.aspx] and to focus on the current market trends and regulatory progression. This programme attracts a diverse group of students with background from finance, engineering, technology, business, management, government. Tutors are all market practitioners.

R Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
 - an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

\$ HK\$8,000 per module
Application Fee: HK\$150

D 18 months to 24 months  English

Q Level 6 (Reg. No.: 09/001881/6) Validity Period: 05 May 2008 - on-going

**Postgraduate Diploma in Investment Management
and Financial Intelligence**

Programme Code: FN044A

Application Code: 2065-FN044A

📞 2867 8476

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The programme aims to:

- impart financial and investment management knowledge and skills to students to enhance their financial decision making;
- facilitate students to understand and analyze contemporary issues as well as the latest development in the financial world;
- prepare students to sit for the CFA examinations based on the Candidate Body of Knowledge;
- equip students with the latest technologies on Big Data and Artificial Intelligence for financial industry;
- stimulate students to apply financial intelligence to perform investment management.

R Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
 i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 iii. HKALE Use of English at Grade E or above; or
 iv. HKDSE Examination English Language at Level 3 or above; or
 v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Remark: Applicants with relevant academic and/or professional qualifications may approach the Programme Team for application of exemption.

More details

\$ HK\$8,000 per module
Application Fee: HK\$150

D 1 year to 2 years  English

Q Level 6 (Reg. No.: 17/001054/L6) Validity Period: 01 Jan 2018 - on-going



NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management

投資管理

Postgraduate Certificate in Banking and Corporate Treasury Management

Programme Code: FN043A

Application Code: 2075-FN043A



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The target student groups for this programme are financial services practitioners who need to understand treasury from the customer's perspective and would like to pursue in-depth knowledge of banking and corporate treasury function in global financial markets. Practitioners such as front office dealers, specialists in treasury banking operations, corporate treasury specialists, treasury risk analysts, treasury management product analysts, treasury and pension officers, corporate treasury back office specialists, internal auditors in treasury operations, or consultants are suitable to attend the programme.

TMA will offer the TMA Level 2 (Full Member) membership to graduates who have successfully completed this programme.

R Applicants shall hold a bachelor's degree awarded by a recognized institution. If the degree is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency such as:

- an overall band of 6.0 with no subtests lower than 5.5 in the IELTS;
- a score of 550 in the paper-based TOEFL, or a score of 213 in the computer-based TOEFL, or a score of 80 in the internet-based TOEFL;
- HKALE Use of English at Grade E;
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

E Students may apply for exemptions of up to one module of the programme. Exemptions may be granted for individual module if the student has successfully completed a module of comparable content and standard. Application for exemptions will be deliberated and decided by the Programme Team.

S HK\$6,600 per module
Application Fee: HK\$150

D 12 months

English

Q Level 6 (Reg. No.: 17/000492/L6) Validity Period: 19 May 2017 - on-going



Executive Certificate in Investor Relations

Programme Code: EP024A



Application Code: 2135-EP024A



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The profession of Investor Relations (IR) has developed rapidly in recent years. This programme provides the key concepts and practices in IR with a range of practical tools, applications and research. Topics include regulations, compliance, communications and IR practices. The programme is taught by seasoned IR practitioners. Graduates will obtain a one-year Hong Kong Investor Relations Association (HKIRA) Associate Membership to enhance their network in IR field.

R Applicants shall:

- (i.) hold a bachelor's degree awarded by a recognized institution or equivalent; or
- (ii.) hold an Associate Degree / a Higher Diploma awarded by a recognized institution or equivalent and have 2 years work experience.

Applicants with other qualifications will be considered on individual merits.

S Module 1: HK\$2,600
Module 2: HK\$2,600
Module 3: HK\$2,600
Application Fee: HK\$150

D 4 months

English, supplemented with Cantonese



See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求(P.015)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

環球投資管理學證書

課程編號 : FN069A

報名代碼 : 2075-FN069A



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本課程主旨是教授美國及環球證券、基金和加密貨幣的分析原則，透過美國及環球新經濟股實戰個案，指導學員分析如何於不同市況下制定個人投資管理策略和執行風險管理。課程範疇包括美股基礎及趨勢分析、新經濟增長股投資策略、環球新經濟增長基金篩選、加密貨幣危與機、投資心理和抗通脹環球資產配置對策。

R 申請人必須

- (i) 於香港中學文憑考試獲5科第2級包括中國語文科；或
- (ii) a) 於香港中學會考獲5科E級包括中國語文科 (2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科獲第2級 (2007年或以後)。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

S 單元一 : HK\$6,240

單元二 : HK\$4,800

單元三 : HK\$4,800

報名費用 : HK\$150

D 9個月

以粵語為主；教材以英語為主

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 21/001423/L3

資歷名冊登記有效期 : 2021年12月13日 - 持續有效

證書 (單元 : 環球新經濟投資攻略)

課程編號 : FN071A

報名代碼 : 2065-FN071A



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本課程讓學員透過投資顧問的角色去研究不同的環球新經濟投資策略及培養新經濟投資觸覺，分析不同的環球新經濟個案和投機泡沫實例，幫助學員部署新經濟投資組合。

R 申請人必須

- (i) 於香港中學文憑考試獲5科第2級包括中國語文科；或
- (ii) a) 於香港中學會考獲5科E級包括中國語文科 (2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科獲第2級 (2007年或以後)。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

S HK\$4,800

D 3個月

以粵語為主；教材以英語為主

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 21/001425/L3

資歷名冊登記有效期 : 2021年12月13日 - 持續有效

Certificate for Module (Private Equity and IPO)

Programme Code: FN075A

Application Code: 2080-FN075A



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The Certificate for Module (Private Equity and IPO) aims to provide students with essential knowledge in Private Equity markets and Initial Public Offering (IPO). It will discuss various issues such as the development of Private Equity markets and IPO in different regions; the structuring and executing Private Equity and IPO; the considerations and processes involved in Private Equity and IPO transactions, updated legal and regulatory development in Hong Kong. Real-life cases will also be discussed. After completing the programme, students can understand the processes and contemporary issues related to Private Equity and IPO.

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS;
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

S HK\$9,000 per programme

Application Fee: HK\$150

D 30 hours

English

Q Level 6 (Reg. No.: 22/000017/L6) Validity Period: 01 Feb 2022 - on-going

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

證書(單元：美股投資策略)

課程編號：FN070A

報名代碼：2075-FN070A

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本課程介紹美國股票市場的運作和教授美股基礎和趨勢分析，並透過不同的美股實例幫助學員建構美股投資組合及風險管理。

R 申請人必須

- (i) 於香港中學文憑考試獲5科第2級包括中國語文科；或
- (ii) a) 於香港中學會考獲5科E級包括中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科獲第2級(2007年或以後)。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

S HK\$6,240

D 3個月

Q 資歷架構級別：3 資歷名冊登記號碼：21/001426/L3

資歷名冊登記有效期：2021年12月13日 - 持續有效

Certificate for Module (CFA ESG Investing)

Programme Code: FN084A

Application Code: 2070-FN084A

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Environmental, social and governance (ESG) becomes a major agenda item among global financial institutions around the world. According to data from Morningstar, US research firm in financial services, assets in sustainable funds grew 53 per cent year-on-year to US\$2.74 in 2021. The momentum is taking place in Asia because of regulatory requirements and increasing client demand.

According to 2021 research paper of "Careers of Tomorrow: Financial Talents in the Digital, Sustainable Economy in Hong Kong" by Hong Kong Financial Services Development Council, there is a strong demand of ESG skills in areas of ESG frameworks and regulations, design of ESG investment products and data science applications to ESG investing, reporting and disclosure.

R Applicants shall hold an Advanced Diploma, a Higher Diploma or an Associate Degree awarded by a recognised institution. Those with other qualifications will be considered on individual merit. Students are required to check with CFA Institute that they fulfil the entry requirements of the CFA Certificate in ESG Investing examination.

S HK\$9,000
Application Fee: HK\$150

D 30 hours

English

Q Level 5 (Reg. No.: 22/000815/L5) Validity Period: 01 Oct 2022 - on-going

證書(單元：加密貨幣投資解碼)

課程編號：FN072A

報名代碼：2080-FN072A

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此課程介紹區塊鏈技術、區塊鏈的運作和應用與現今加密貨幣市場的運作，並透過不同的加密貨幣實例分析，幫助學員了解加密貨幣生態圈及其風險管理。

R 申請人必須

- (i) 於香港中學文憑考試獲5科第2級包括中國語文科；或
- (ii) a) 於香港中學會考獲5科E級包括中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科獲第2級(2007年或以後)。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

S HK\$4,800

D 3個月

以粵語為主；教材以英語為主

Q 資歷架構級別：3 資歷名冊登記號碼：21/001424/L3

資歷名冊登記有效期：2021年12月13日 - 持續有效

Certificate for Module (Supply Chain Finance)

Programme Code: FN083A

Application Code: 2070-FN083A

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The programme aims to equip financial industry practitioners, including front line bankers and financial institution personnel as well as second line compliance and operations staff, with a thorough understanding of customers' business operating models as currently practised in Hong Kong and worldwide. It also covers the introduction of the banking basics, technology, and future trends of financial supply chains development. After completing the programme, students can understand the processes and contemporary issues related to supply chain finance.

R Applicants shall hold:

- (i) a relevant bachelor's degree awarded by a recognized university or equivalent; or
- (ii) a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

S HK\$9,000 per programme

Application Fee: HK\$150

D 30 hours

English

Q Level 5 (Reg. No.: 22/000703/L5) Validity Period: 01 Sep 2022 - on-going

財富增值系列

課程資訊



證書(單元：財富增值系列：另類投資策略)

課程編號：FN068A

Application Code: 2070-FN068A



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課程旨在教授學員認識另類投資，比較另類投資產品與傳統投資產品的分別，了解另類投資產品如名錶、葡萄酒及烈酒、非同質化代幣 (NFT)、環球房地產、可交易配額、與另類投資相關的上市交易所買賣基金 (ETF) 等，從而將另類投資產品加入投資組合。此外，本課程亦會指導學員就有關另類投資產品進行投資分析，選擇適合自己的平衡投資策略。

R 申請人應該完成香港中學會考課程或香港高中課程。

申請人如持有其他同等資格，學院將按個別情況考慮。

S HK\$5,500

D 30小時

粵語

Q 資歷架構級別：3 資歷名冊登記號碼：21/001167/L3

資歷名冊登記有效期：2021年11月1日 - 持續有效

證書(單元：財富增值系列：ETF投資攻略)

課程編號：FN051A

Application Code: 2070-FN051A



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課程旨在介紹上市交易所買賣基金 (ETF) 的投資特性，辨別所持資產類別 (例如指數、股票、債券等)，讓學員認識 ETF 作為環球投資的方法及分散風險的概念。完成課程後，學員能夠了解 ETF 投資策略，選擇適合自己的 ETF 產品作為投資部署，專業講者亦會分享其 ETF 交易實戰投資心得。

R 申請人應該完成香港中學會考課程或香港高中課程。

申請人如持有其他同等資格，學院將按個別情況考慮。

S 每科 HK\$5,700

D 5個星期

粵語

Q 資歷架構級別：3 資歷名冊登記號碼：20/000583/L3

資歷名冊登記有效期：2020年9月1日 - 持續有效

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

NCR2

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR3

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 計程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management

投資管理

證書(單元：財富增值系列：新國際經濟秩序下的環球投資)

課程編號：FN066A

報名代碼：2065-FN066A



3

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課程旨在教授學員認識不同經濟大國和聯盟的政策及經濟發展，了解新國際經濟秩序下對不同國家及地區的金融市場所產生的影響，從而研究有關環球投資的課題。此外，本課程亦會指導學員就有關環球投資進行經濟及投資分析，選擇適合自己的環球投資策略。

R 申請人應該完成香港中學會考課程或香港高中課程。
申請人如持有其他同等資格，學院將按個別情況考慮。

HK\$5,500

30 小時

資歷架構級別：3 資歷名冊登記號碼：21/000553/L3
資歷名冊登記有效期：2021年9月1日 - 持續有效

粵語

證書(單元：財富增值系列：選股及價值投資法)

課程編號：FN052A

報名代碼：2075-FN052A



3

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課程旨在教授學員如何運用基本分析方法研究上市公司股票的內在價值，應用股票價值評估方法以選擇公司股票。此外，也會指導學員對作為投資目標的上市公司進行分析及作出財務預測，並討論價值投資方法及股票投資策略。

R 申請人應該完成香港中學會考課程或香港高中課程。
申請人如持有其他同等資格，學院將按個別情況考慮。

HK\$5,700

5 個星期

資歷架構級別：3 資歷名冊登記號碼：20/000584/L3
資歷名冊登記有效期：2020年9月1日 - 持續有效

粵語

財富增值系列：穩健投資組合

課程編號：FINA9349

報名代碼：2070-1802NW



2520 4612

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本課程為財富增值系列中的一個短期課程，旨在讓學員認識不同的投資工具，例如股票、債券、外匯、交易所買賣基金（ETF）等，了解個人的投資目標及限制，訂立個人投資計劃，建立及管理好個人投資組合，當上自己的個人基金經理，讓投資組合提供穩定回報。此外，在課程中講者將分享不同的投資策略和有關例子，以助學員設計適合個人的穩健投資組合。

HK\$2,800

5 個星期

粵語

財富增值系列：債券投資心法

課程編號：FINA9337

報名代碼：2065-2642NW



2520 4612

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本課程為財富增值系列中的一個短期課程，旨在向學員學習固定收入證券投資的概念及術語，認識不同的債券產品，了解固定收入證券投資中潛在的風險及回報，以幫助學員實現更好的投資決策。

HK\$2,800

5 個星期

粵語

See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求(P.015)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

財富增值系列：衍生工具投資解碼



課程編號：FINA9339



報名代碼：2065-2363NW

2520 4612

finsc@hkuspace.hku.hk

本課程為15小時短期課程，旨在向學員介紹期權及結構性產品投資的概念及術語，專業講者將會分析當前在貿易戰下的投資環境，讓學員認識期權的運作及不同類型的結構性投資產品，並了解有關衍生工具及投資產品的潛在風險及回報，專業講者亦會分享期權及結構性投資產品的投資心得，以幫助學員實現更好的投資決策。

S HK\$2,800

D 5 個星期

E 中 粵語

財富增值系列：逆境投資攻略



課程編號：FINA9350



報名代碼：2065-2382NW

2520 4612

finsc@hkuspace.hku.hk

本課程為15小時短期課程，旨在向學員分析在逆境下環球經濟的形勢及相關的投資機會，了解競爭策略、五力模型及價值鏈分析，分享著名投資大師的投資策略，選擇有升值潛力的板塊及行業以作長遠投資。此外，在課程中講者將分享投資策略和有關例子，以助學員作出宏觀經濟分析及制定環球投資決策。

S HK\$2,800

D 5 個星期

E 中 粵語

財富增值系列：指數指標投資攻略



課程編號：FINA9336



報名代碼：2065-2238NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在教授學員認識常見的經濟指數和財經指標，並了解其背後的投資啟示，以幫助投資者制定投資策略。此外，講者將分享技術指標的應用，以協助作出精明的投資決策。

S HK\$2,800

D 5 個星期

E 中 粵語

財富增值系列：大時代下之股票投資及技術分析



課程編號：FINA9338



報名代碼：2070-1616NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在向學員介紹有關挑選持有升值潛力的上市公司及賣出股價有下跌可能的方法，觀察股票市場的投資情緒，並運用技術分析以助制定買賣決策。

S HK\$2,800

D 5 個星期

E 中 粵語

股市大數據投資攻略

課程編號：FINA9306

S

報名代碼：2060-1274NW

2520 4612

finsc@hkuspace.hku.hk



課程介紹各種不同的取數方法來解讀公司狀態，如：盈喜盈警數據，績優績差數據，賣殼或私有化數據等等。香港交易所發布的本地股票市場數據及各種互聯網經濟數據複雜繁多，如何利用各種解讀方式從而判斷投資決策，系統式數據能有效增強投資收益。

每科HK\$2,200

5個星期

粵語

投資管理證書

課程編號：FN030A

報名代碼：2075-FN030A



2867 8322

ciim@hkuspace.hku.hk



近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科（2007年或以前）；或
- b) 於香港中學會考獲4科E級及中國語文科第2級（2007年或以後）；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

單元一：HK\$4,100

單元二：HK\$4,100

單元三：HK\$5,400

報名費用：HK\$150

D 9個月

以粵語為主；教材以英語為主

Q 資歷架構級別：3 資歷名冊登記號碼：15/002752/L3

資歷名冊登記有效期：2015年7月24日 - 持續有效

證書（單元：股票投資策略）

課程編號：FN032A

報名代碼：2075-FN032A



2867 8322

ciim@hkuspace.hku.hk



近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科（2007年或以前）；或
- b) 於香港中學會考獲4科E級及中國語文科第2級（2007年或以後）；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

HK\$4,100

3個月

以粵語為主；教材以英語為主

Q 資歷架構級別：3 資歷名冊登記號碼：15/002754/L3

資歷名冊登記有效期：2015年7月24日 - 持續有效

證書（單元：外匯、衍生工具、結構性產品及對沖基金交易策略）

課程編號：FN034A



報名代碼：2085-FN034A

2867 8322

ciim@hkuspace.hku.hk



近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科（2007年或以前）；或
- b) 於香港中學會考獲4科E級及中國語文科第2級（2007年或以後）；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

HK\$5,400

3個月

以粵語為主；教材以英語為主

證書（單元：金融技術及趨勢分析）

課程編號：FN033A



報名代碼：2070-FN033A

2867 8322

ciim@hkuspace.hku.hk



近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科（2007年或以前）；或
- b) 於香港中學會考獲4科E級及中國語文科第2級（2007年或以後）；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

HK\$4,100

3個月

以粵語為主；教材以英語為主

Q 資歷架構級別：3 資歷名冊登記號碼：15/002755/L3

資歷名冊登記有效期：2015年7月24日 - 持續有效

Certificate for Module (Introduction to Financial Time Series Analysis)

Programme Code: FN062A



Application Code: 2060-FN062A

2520 4612

finsc@hkuspace.hku.hk



The programme aims to provide students with elementary knowledge of time series analysis for financial data. It introduces basic time series models, models and tests for long-run relationships, volatility models and simultaneous equation models. Computational tools will be used to analyze time series and build financial models. This programme is suitable for students to prepare for postgraduate studies in finance and investment disciplines.

R Applicants should hold an Advanced Diploma, a Higher Diploma or an Associate Degree preferably in the areas of business and statistics (e.g., mathematics, statistics, computer science, IT, engineering, economics or finance) awarded by a recognized institution. Applicants with other equivalent qualifications will be considered on individual merit.

HK\$7,600

5 weeks

English

Q Level 5 (Reg. No.: 21/000427/L5) Validity Period: 01 Jul 2021 - on-going

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，本課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，這些課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育（規管）條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management

投資管理

Certificate for Module (Merger and Acquisition Series: Business Valuation and Financing)

Programme Code: FN087A

Application Code: 2075-FN087A

2867 8476

finedec@hkuspace.hku.hk

NEW

The programme is designed to provide students with an understanding of the methods of business valuation. It will provide an overview of the financing process. Students will learn the pros and cons of using financial ratios to evaluate a business deal. Financial processes and techniques such as merger and acquisitions, initial public offers, leveraged buy-outs, corporate restructuring and reorganisation and liquidation will be discussed. At the end of the programme, students are expected to know how to interpret the financial statements and review shortcomings of capital budgeting tools.

R Applicants shall hold:

- (i) a relevant bachelor's degree awarded by a recognized university or equivalent; or
- (ii) a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

S HK\$9,000
Application Fee: HK\$150

D 30 hours

Q English

Level 5 (Reg. No.: 22/000833/L5) Validity Period: 01 Nov 2022 - on-going

Certificate for Module (Merger and Acquisition Series: Due Diligence, Tax and Accounting Issues)

Programme Code: FN086A

Application Code: 2075-FN086A

2867 8476

finedec@hkuspace.hku.hk

NEW

The programme is designed to provide a comprehensive overview of the entire merger and acquisition process, with particular focus on important issues related to accounting and taxation such as consolidation issues and fair value measurement. Students will also be introduced to different aspects of due diligence in the M&A process, such as red flags, due diligence programme structure and parties involved, so that they can prepare the due diligence report at the end of the programme.

R Applicants shall hold:

- (i) a relevant bachelor's degree awarded by a recognized university or equivalent; or
- (ii) a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

S HK\$9,000
Application Fee: HK\$150

D 30 hours

Q English

Level 5 (Reg. No.: 22/000834/L5) Validity Period: 01 Nov 2022 - on-going

Certificate for Module (Merger and Acquisition Series: Strategies Issues)

Programme Code: FN085A

Application Code: 2075-FN085A

2867 8476

finedec@hkuspace.hku.hk

NEW

The programme aims to explain and evaluate the major strategic issues involved in the M&A process. The programme focuses on analyzing a wide range of business-oriented considerations in any M&A activities such as how to determine the considerations and ownership structures. At the end of the programme, the students are expected to develop a sense of appreciation of the significant issues affecting the success and failure of a M&A deal.

R Applicants shall hold:

- (i) a relevant bachelor's degree awarded by a recognized university or equivalent; or
- (ii) a relevant Associate Degree / Higher Diploma or equivalent, and have at least 2 years of related work experience.

Applicants with other qualifications will be considered on individual merit.

S HK\$9,000
Application Fee: HK\$150

D 30 hours

Q English

Level 5 (Reg. No.: 22/000835/L5) Validity Period: 01 Nov 2022 - on-going

See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求(P.015)

S Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

Certificate for Module (Quantitative Methods in Finance)

Programme Code: FN063A

Application Code: 2060-FN063A

2520 4612

finsc@hkuspace.hku.hk



5



The programme aims to provide students with fundamental knowledge of statistical and quantitative methods in analyzing financial data. It covers time value of money, descriptive statistics, probability and probability distributions, inferential statistics and regression. Computational tools will be used to assist solving the finance and statistics problems. This programme is suitable for students preparing for postgraduate studies in finance and investment disciplines.

R Applicants should hold an Advanced Diploma, a Higher Diploma or an Associate Degree awarded by a recognized institution. Those with a mathematics or science background would be at an advantage.

Applicants with other equivalent qualifications will be considered on individual merit.

S HK\$6,800

D 10 weeks

Q English

Level 5 (Reg. No.: 21/000428/L5) Validity Period: 01 Jul 2021 - on-going

Designing a Detailed Retirement Plan

Programme Code: FINA9263

S

Application Code: 2080-1921NW

2520 4612

finsc@hkuspace.hku.hk



This workshop focuses on helping individual design a solid financial plan to fund his/her retirement. You will be working on your own retirement planning, remember to bring your own calculator to the workshop.

S HK\$2,200

D 1 day

Q English, supplemented with Cantonese

衍生工具

課程編號 : FINA9008

S

報名代碼 : 2060-1430NW



2520 4612

finsc@hkuspace.hku.hk

本課程旨在幫助投資者瞭解衍生工具的基本知識，從而減少投資風險及增加收益。課程內容包括：衍生產品市場概覽、認股證、恒指輪證、牛熊證、期貨、遠期市場和利用期貨套期保值、掉期、期權市場、衍生工具交易策略，以及結構產品。

S HK\$2,200

D 5 個星期

Q 粵語

股票及投資技術分析

課程編號 : FINA9010

S

報名代碼 : 2060-1319NW



2520 4612

finsc@hkuspace.hku.hk

本課程旨在協助投資者掌握正確的股票投資技巧。課程內容包括：基本分析、技術分析、圖表應用實例、股票買賣的技巧、基礎期權理論、期權策略，以及如何應付牛皮市、熊市和大牛市。

S HK\$2,300

D 5 個星期

Q 粵語

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

八字五行易卦風水論金融投資運勢

課程編號：FINA9288

報名代碼：2060-1386NW

2520 4612

finsc@hkuspace.hku.hk



HK\$2,200

5個星期



粵語

中小企財務管理

課程編號：FINA9332

報名代碼：2060-1399NW

2520 4612

finsc@hkuspace.hku.hk



粵語

HK\$4,900

5個星期

外匯投資攻略

課程編號：FINA9320

報名代碼：2065-1856NW

2520 4612

finsc@hkuspace.hku.hk



粵語

HK\$2,200

4個星期

投資管理

課程編號：FINA9009

報名代碼：2060-1501NW

2520 4612

finsc@hkuspace.hku.hk



粵語

HK\$2,200

5個星期

個人財務策劃工作坊系列



2520 4612

finsc@hkuspace.hku.hk

本課程包括兩個不同主題的工作坊，每一個工作坊為 3 小時，兩個工作坊提供總學習時數為 6 小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

HK\$1,800

2個星期

粵語

名稱	課程編號	報名代碼
整合財務安排及保險策劃	FINA9335	2060-1714NW
財務策劃及信托安排	FINA9334	2065-2349NW
財務策劃及遺產管理	FINA9333	2065-2083NW

全球經濟數據解讀



課程編號：FINA9294



報名代碼：2060-1465NW

2520 4612

finsc@hkuspace.hku.hk

本課程將討論不同國家經濟數據的含義及其對期望與實際公佈的影響，並強調這些數據如何影響股票、利率和貨幣市場。

HK\$2,200

5個星期

以粵語為主；教材以英語為主

西方數字學論經濟金融投資運勢



課程編號：FINA9322



報名代碼：2070-1599NW

2520 4612

finsc@hkuspace.hku.hk

本課程旨在認識生命能量數字學的分析方法，了解個人的性格及心理特質，營造良好的個人發揮方向和團體合作方案及提升工作效率成果，應用數字的力量在經濟、金融、投資及管理的商業範疇，從而令財富有所提升。

HK\$2,200

2個星期

粵語

香港統計在商業上的應用



課程編號：FINA9011



報名代碼：2075-2665NW

2520 4612

finsc@hkuspace.hku.hk

本課程旨在讓經理級人員、行政人員及策劃人員深入瞭解香港現有的統計資料，以及如何將這些資料應用於商業及預測上。

HK\$2,300

5個星期

粵語

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

如何閱讀財務報表

課程編號：ACCT9075

S

報名代碼：2065-2475NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在教授閱讀及分析財務報表，讓學員認識及瞭解基本財務概念和公司財務狀況。課程內容包括：會計報表基本準則及基本法規；公司盈利分析及預測，以及引用市場實例以作解釋。

HK\$2,200

5個星期

粵語

如何閱讀財務報表進階班

課程編號：ACCT9222

S

報名代碼：2075-1789NW

2520 4612

finsc@hkuspace.hku.hk

「如何閱讀財務報表進階班」課程旨在深入理解財務報表，課程將會使用財務報表作案例研究，並會教授資產、負債、權益、收入、費用等概念，如何為個體公司和集團企業編制通用的財務報告。此外，課程也涵蓋特定課題：納稅的影響，需披露的資料，資產重估，應用程序和財務報表的分析。

HK\$2,200

5個星期

粵語

S



投資風險管理

課程編號：FINA9012

報名代碼：2065-1501NW

2520 4612

finsc@hkuspace.hku.hk

本課程旨在協助投資者掌握風險管理知識及技術，並配合策略加以運用。初次介紹：

1. 什麼是風險
2. 如何量化風險
3. 套期保值的概念
4. 交易所買賣基金，5.VIX “恐慌指數產品”。減低投資風險，增加投資回報。

HK\$2,200

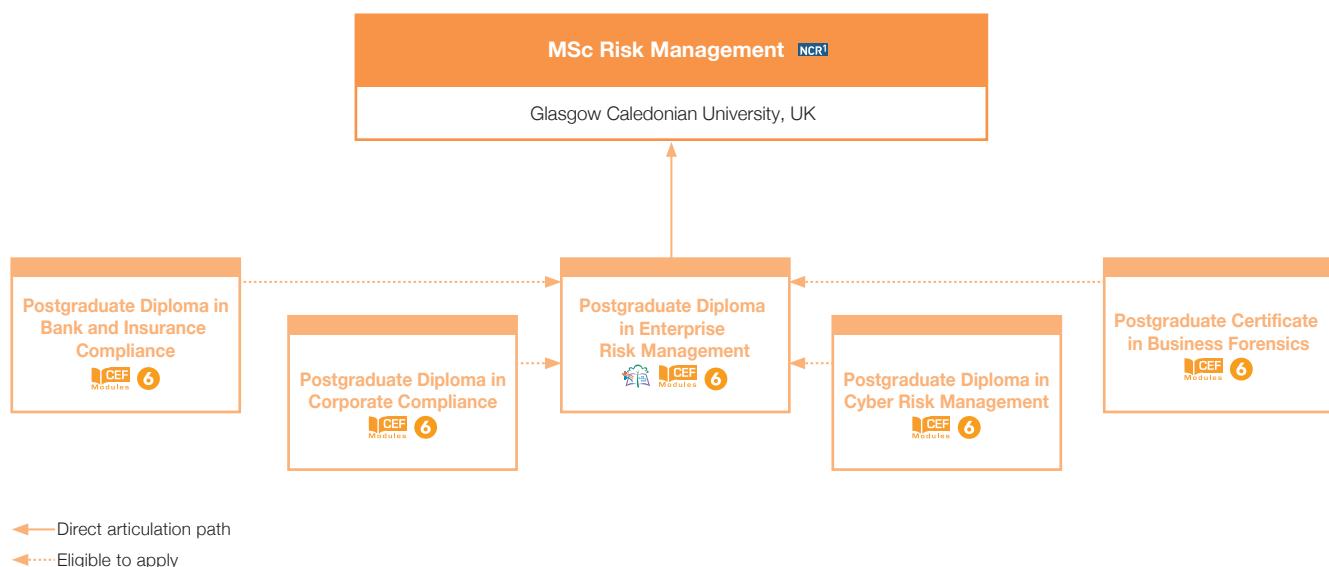
5個星期

粵語

Risk Management

風險管理

Pathways in Risk Management



See legend on page 029 圖像說明於第029頁

R Minimum Entry Requirements 基本入學要求 (P.015)

\$ Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk